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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/643581-Conf. #3956		
Filing Date	August 19, 2003		
First Named Inventor	Laurie H. GLIMCHER		
Art Unit	1633		
Examiner Name	Q.J. Li		
Attorney Docket Number	HUI-041DV		

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Data MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
DUPPLICATE	B1	WO-97/39721-A2	10-30-1997	President and Fellows of Harvard College		
	B2	EP-0857780-A1	08-12-1998	Sumitomo Electric Industries, Ltd.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
DUPPLICATE	C1	Andersson, L-O, "Pharmacology of apolipoprotein A-I," <i>Curr. Opin. Lipidol.</i> 8:225-228 (1997)	
	C2	Bancroft, A.J. et al., "Cytokine Production in BALB/c Mice Immunized with Radiation Attenuated Third Stage Larvae of the Filarial Nematode, <i>Brugia pahangi</i> ," <i>J. Immunol.</i> 150(4):1395-1402 (1993)	
	C3	Beg, A.A. et al., "Embryonic lethality and liver degeneration in mice lacking the RelA component of NF- $\kappa$ B," <i>Nature</i> 376:167-170 (1995)	
	C4	Chen, B.P. et al., "Analysis of ATF3, a transcription factor induced by physiological stresses and modulated by gadd153/Chop10," <i>Mol. Cell Biol.</i> 16:1157-1168 (1996)	
	C5	Chen, C. et al., "In Vitro Induction of T Cell Anergy by Blocking B7 and Early T Cell Costimulatory Molecule ETC-1/B7-2," <i>Immunity</i> 1:147-154 (1994)	
	C6	Chen, H. et al., "Regulation and Activities of $\alpha$ -Fetoprotein," <i>Critical Reviews in Eukaryotic Gene Expression</i> 7(1&2):11-41 (1997)	
	C7	Clauss, I.M. et al., "In Situ Hybridization Studies Suggest a Role for the Basic Region-Leucine Zipper Protein hXBp-1 in Exocrine Gland and Skeletal Development During Mouse Embryogenesis," <i>Dev. Dynamics</i> 197:146-156 (1993)	
	C8	Clerici, M. et al., "A Th1-Th2 switch is a critical step in the etiology of HIV infection," <i>Immuno Today</i> 14(3):107-111 (1993)	
	C9	Dallman, M.J., "Cytokines and transplantation: Th1/Th2 regulation of the immune response to solid organ transplants in the adult," <i>Curr. Opin. Immunol.</i> 7:632-638 (1995)	
	C10	Else, K.J. et al., "Cytokine-mediated Regulation of Chronic Intestinal Helminth Infection," <i>J. Exp. Med.</i> 179:347-351 (1994)	
	C11	Fässler, R. et al., "Consequences of lack of $\beta$ 1 integrin gene expression in mice," <i>Genes &amp; Development</i> 9:1896-1908 (1995)	
	C12	Fauci, A.S., "The Human Immunodeficiency Virus: Infectivity and Mechanisms of Pathogenesis," <i>Science</i> 239:617-623 (1988)	

Examiner Signature	/Q. Janice Li/ (04/09/2007)	Date Considered
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Filing Date	August 19, 2003
First Named Inventor	Laurie H. GLIMCHER
Art Unit	1633
Examiner Name	Q.J. Li

Attorney Docket Number HUI-041DV

<i>DUP</i>	C13	Fowler, D.H. et al., "Donor CD4-Enriched Cells of Th2 Cytokine Phenotype Regulate Graft-Versus-Host Disease Without Impairing Allogeneic Engraftment in Sublethally Irradiated Mice," <i>Blood</i> 84(10):3540-3549 (1994)
	C15	Fowler, D.H. et al., "Donor Lymphoid Cells of Th2 Cytokine Phenotype Reduce Lethal Graft Versus Host Disease and Facilitate Fully Allogeneic Cell Transfers in Sublethally Irradiated Mice," <i>Advances in Bone Marrow Purging and Processing: Fourth International Symposium. Prog. Clin. Biol. Res.</i> 389:533-540 (1994)
	C16	Gabay, C. et al., "Acute-Phase Proteins and Other Systemic Responses to Inflammation," <i>New England Journal of Medicine</i> 340(6):448-454 (1999)
<i>/qjll/</i>	C16	GenBank Accession No. A36299 for transcription factor hXBP-1 – human, dated September 10, 1999
	C17	GenBank Accession No. BAA82600 for hepatocarcinogenesis-related transcription factor (HTF) [ <i>Rattus norvegicus</i> ], dated April 14, 2000
<i>↓</i>	C18	GenBank Accession No. CAA39149 for TREB protein [ <i>Homo sapiens</i> ], dated March 8, 1993
	C19	GenBank Accession No. GI:4827058 for X-box binding protein 1; X-box-binding protein-1 [ <i>Homo sapiens</i> ], dated November 1, 2000
<i>/qjll/</i>	C20	GenBank Accession No. P17861 for X BOX BINDING PROTEIN-1 (XBP-1) (TREB5 PROTEIN), April 24, 1993
	C21	Gorczyński, R.M. et al., "Interleukin 12 in Combination With Anti-Interleukin 10 Reverses Graft Prolongation After Portal Venous Immunization," <i>Transplantation</i> 60(11):1337-1341 (1995)
	C22	Grzych, J.-M. et al., "Egg Deposition is the Major Stimulus for the Production of Th2 Cytokines in Murine Schistosomiasis Mansoni," <i>J. Immunol.</i> 146(4):1322-1327 (1991)
	C23	Gualdi, R. et al., "Hepatic specification of the gut endoderm in vitro: cell signaling and transcription control," <i>Genes Dev.</i> 10:1670-1682 (1996)
<i>DUPPLICATE</i>	C24	Gómez, C. et al., "Embryonic lethality and liver degeneration in mice lacking the metal-responsive transcriptional activator MTF-1," <i>EMBO J.</i> 17:2846-2854 (1998)
	C25	Hentsch, B. et al., "Hlx homeo box gene is essential for an inductive tissue interaction that drives expansion of embryonic liver and gut," <i>Genes Dev.</i> 10:70-79 (1996)
	C26	Hirsch, E. et al., "Impaired migration but not differentiation of haematopoietic stem cells in the absence of $\beta_1$ integrins," <i>Nature</i> 380:171-175 (1996)
	C27	Jacks, T. et al., "Effects of an <i>Rb</i> mutation in the mouse," <i>Nature</i> 359:295-300 (1992)
	C28	Khoury, S.J. et al., "Oral Tolerance to Myelin Basic Protein and Natural Recovery from Experimental Autoimmune Encephalomyelitis Are Associated with Downregulation of Inflammatory Cytokines and Differential Upregulation of Transforming Growth Factor $\beta$ , Interleukin 4, and Prostaglandin E Expression in the Brain," <i>J. Exp. Med.</i> 176:1355-1364 (1992)
	C29	Kishimoto, T. et al., "Enhanced Expression of a New Class of Liver-enriched b-Zip Transcription Factors, Hepatocarcinogenesis-related Transcription Factor, in Hepatocellular Carcinomas of Rats and Humans," <i>Cell Growth &amp; Differentiation</i> 9:337-344 (1998)
	C30	Kishimoto, T. et al., "MTF: A B-Zip Transcription Factor That Is Closely Related to the Human XBP/TREB5 and Is Activated by Hepatocellular Carcinoma in Rats," <i>Biochem. Biophys. Res. Commun.</i> 223:746-751 (1996)
	C31	Kullberg, M.C. et al., "Infection With <i>Schistosoma mansoni</i> Alters Th1/Th2 Cytokine Responses to a Non-Parasite Antigen," <i>J. Immunol.</i> 148(10):3264-3270 (1992)
	C32	Lee, E. Y.-H. P. et al., "Mice deficient for <i>Rb</i> are nonviable and show defects in neurogenesis and haematopoiesis," <i>Nature</i> 359:288-294 (1992)
	C33	Levy, A.E. et al., "Administration of Ingraft Interleukin-4 Prolongs Cardiac Allograft Survival in Rats Treated With Donor-specific Transfusion/Cyclosporine," <i>Transplantation</i> 60:405-406 (1995)
	C34	Liou, H-C. et al., "A New Member of the Leucine Zipper Class of Proteins That Binds to the HLA DR $\alpha$ Promoter," <i>Science</i> 247:1581-1584 (1990)

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Sheet	3	of	4	First Named Inventor	Laurie H. GLIMCHER
				Art Unit	1633
				Examiner Name	Q.J. Li
				Attorney Docket Number	HUI-041DV

C35	Locksley, R.M. et al., "Helper T-cell subsets in mouse leishmaniasis: induction, expansion and effector function," <i>Immunoparasitology Today</i> 1:A58-A61 (1991)
C36	Maeda, H. et al., "Adoptive transfer of a T <sub>h</sub> 2-like cell line prolongs MHC class II antigen disparate skin allograft survival in the mouse," <i>International Immunology</i> 6(6):855-862 (1994)
C37	Maekawa, T. et al., "Mouse ATF-2 null mutants display features of a severe type of meconium aspiration syndrome," <i>J. Biol. Chem.</i> 274(25):17813-17819 (1999)
C38	Mucenski, M.L. et al., "A Functional c-myc Gene Is Required for Normal Murine Fetal Hepatic Hematopoiesis," <i>Cell</i> 65:677-689 (1991)
C39	Ono, S.J. et al., "Human X-box-binding protein 1 is required for the transcription of a subset of human class II major histocompatibility genes and forms a heterodimer with c-fos," <i>Proc. Natl. Acad. Sci. USA</i> 88:4309-4312 (1991)
C40	Paul, W.E. et al., "Lymphocyte Responses and Cytokines," <i>Cell</i> 76:241-251 (1994)
C41	Pearce, E.J. et al., "Downregulation of Th1 Cytokine Production Accompanies Induction of Th2 Responses by a Parasitic Helminth, <i>Schistosoma mansoni</i> ," <i>J. Exp. Med.</i> 173:159-166 (1991)
C42	Pearlman, E. et al., "Induction of Murine T-Helper-Cell Responses to the Filarial Nematode <i>Brugia malayi</i> ," <i>Infection and Immunity</i> 61(3):1105-1112 (1993)
C43	Pisa, P. et al., "Selective expression of interleukin 10, interferon $\gamma$ , and granulocyte-macrophage colony-stimulating factor in ovarian cancer biopsies," <i>Proc. Natl. Acad. Sci. USA</i> 89:7708-7712 (1992)
C44	Rapoport, M.J. et al., "Interleukin 4 Reverses T Cell Proliferative Unresponsiveness and Prevents the Onset of diabetes in Nonobese Diabetic Mice," <i>J. Exp. Med.</i> 178:87-99 (1993)
C45	Reimold et al., "Transcription Factor B Cell Lineage-specific Activator Protein Regulates the Gene for Human X-Box Binding Protein 1," <i>J. Exp. Med.</i> 183:393-401 (1996)
C46	Reimold et al., "Chondrodysplasia and neurological abnormalities in ATF-2-deficient mice," <i>Nature</i> 387:262-265 (1996)
C47	Reimold, A. et al., "Control of Terminal B Cell Differentiation by Transcription Factor XBP-1," <i>Arthritis &amp; Rheumatism</i> 42(9 Suppl.):S58 (1999)
C48	Reimold, A.M. et al., "An essential role in liver development for transcription factor XBP-1," <i>Genes &amp; Development</i> 14:152-157 (2000)
C49	Rudolph, D. et al., "Impaired fetal T cell development and perinatal lethality in mice lacking the cAMP response element binding protein," <i>Proc. Natl. Acad. Sci. USA</i> , 95(8):4481-4486 (1998)
C50	Schmidt, C. et al., "Scatter factor/hepatocyte growth factor is essential for liver development," <i>Nature</i> 373:699-702 (1995)
C51	Servillo, G. et al., "Transcription factor CREM coordinates the timing of hepatocyte proliferation in the regenerating liver," <i>Genes Dev.</i> 12(23):3639-3643 (1998)
C52	Shearer, G.M. et al., "T helper cell immune dysfunction in asymptomatic, HIV-1-seropositive individuals: the role of TH1-TH2 cross-regulation," <i>Chem. Immunol.</i> 54:21-43 (1992)
C53	Simon, A.K. et al., "Divergent T-cell cytokine patterns in inflammatory arthritis," <i>Proc. Natl. Acad. Sci. USA</i> 91:8562-8566 (1994)
C54	Takeuchi, T. et al., "Heart Allografts in Murine Systems. The Differential Activation of TH2-Like Effector Cells in Peripheral Tolerance," <i>Transplantation</i> , 53(6):1281-1291 (1992)
C55	Tajbi, R., "Transcriptional control of liver regeneration," <i>FASEB J.</i> , 10:413-427 (1996)
C56	Thai, N.L. et al., "Cytokine mRNA Profiles in Mouse Orthotopic Liver Transplantation," <i>Transplantation</i> 59(2):274-281 (1995)
C57	Tzakis, A.G. et al., "Early Tolerance in Pediatric Liver Allograft Recipients," <i>J. Pediatr. Surg.</i> 29(6):754-756 (1994)
C58	Uehara, Y. et al., "Placental defect and embryonic lethality in mice lacking hepatocyte growth factor/scatter factor," <i>Nature</i> 373:702-705 (1995)
C59	Yamamura, M. et al., "Local Expression of Antiinflammatory Cytokines in Cancer," <i>J. Clin. Invest.</i> 91:1005-1010 (1993)

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				Examiner Name	Q.J. Li
Sheet	4	of	4	Attorney Docket Number	HUI-041DV

**DUPPLICATE**

C60	<del>Yoshimura T et al., "Multiple cDNA clones encoding nuclear proteins that bind to the tax-dependent enhancer of HTLV-1: all contain a leucine zipper structure and basic amino acid domain," EMBO J 9(8):2527-2542 (1990)</del>	→
C61	European Search Report for Application No. 05013817.1-2401, dated March 17, 2006	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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